

JC542 U.S. PTO
09/28/01

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

10_01_01

PTO/SB/05 (03-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

JC973 U.S. PTO
09/28/01

Attorney Docket No.	42390P11705
First Inventor	Wang, et al.
Title	Configurable System Monitoring for Dynamic Optimization of Program Execution
Express Mail Label No.	EL 899343561 US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27.
3. Specification [Total Pages **27**]
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets **7**]
5. Oath or Declaration [Total Pages **6**]
 - a. Newly executed (original or copy) **UNSIGNED**
 - b. Copy from a prior application (37 CFR 1.63 (d))
(for continuation/divisional with Box 18 completed)
 - i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP)

of prior application No.: _____ / _____

Prior application information:

Examiner _____ Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Attach bar code label here)			or	<input type="checkbox"/> + Correspondence address below
Name	Blakely, Sokoloff, Taylor & Zafman				
Address	12400 Wilshire Boulevard				
City	Los Angeles	State	CA	Zip Code	90025-1030
Country	U.S.A.	Telephone	303-740-1980	Fax	303-740-6962

Name (Print/Type)	Mark C. Van Ness	Registration No. (Attorney/Agent)	39,865	
Signature			Date	September 28, 2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$890.00)

Complete if Known

Application Number	Not yet assigned
Filing Date	September 28, 2001
First Named Inventor	Wang, et al.
Examiner Name	Not yet assigned
Group Art Unit	Not yet assigned
Attorney Docket No.	42390P11705

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to.
 Deposit Account Number **02-2666**
 Deposit Account Name _____
 Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
 Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:
 Check Credit card Money Order Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 710	201 355	Utility filing fee	710.00
106 320	206 160	Design filing fee	_____
107 490	207 245	Plant filing fee	_____
108 710	208 355	Reissue filing fee	_____
114 150	214 75	Provisional filing fee	_____

SUBTOTAL (1) (\$710.00)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30	-20** = 10	x 18.00	= 180.00
Independent Claims	3 - 3** = 0	x _____	= _____
Multiple Dependent			= _____

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 80	202 40	Independent claims in excess of 3
104 270	204 135	Multiple dependent claim, if not paid
109 80	209 40	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$180.00)

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65		Surcharge - late filing fee or oath	_____
127 50	227 25		Surcharge - late provisional filing fee or cover sheet	_____
139 130	139 130		Non-English specification	_____
147 2,520	147 2,520		For filing a request for ex parte reexamination	_____
112 920*	112 920*		Requesting publication of SIR prior to Examiner action	_____
113 1,840*	113 1,840*		Requesting publication of SIR after Examiner action	_____
115 110	215 55		Extension for reply within first month	_____
116 390	216 195		Extension for reply within second month	_____
117 890	217 445		Extension for reply within third month	_____
118 1,390	218 695		Extension for reply within fourth month	_____
128 1,890	228 945		Extension for reply within fifth month	_____
119 310	219 155		Notice of Appeal	_____
120 310	220 155		Filing a brief in support of an appeal	_____
121 270	221 135		Request for oral hearing	_____
138 1,510	138 1,510		Petition to institute a public use proceeding	_____
140 110	240 55		Petition to revive - unavoidable	_____
141 1,240	241 620		Petition to revive - unintentional	_____
142 1,240	242 620		Utility issue fee (or reissue)	_____
143 440	243 220		Design issue fee	_____
144 600	244 300		Plant issue fee	_____
122 130	122 130		Petitions to the Commissioner	_____
123 50	123 50		Processing fee under 37 CFR 1.17(d)	_____
126 180	126 180		Submission of Information Disclosure Stmt	_____
581 40	581 40		Recording each patent assignment per property (times number of properties)	_____
146 710	246 355		Filing a submission after final rejection (37 CFR § 1.129(a))	_____
149 710	249 355		For each additional invention to be examined (37 CFR § 1.129(b))	_____
179 710	279 355		Request for Continued Examination (RCE)	_____
169 900	169 900		Request for expedited examination of a design application	_____
Other fee (specify) _____				_____

*Reduced by Basic Filing Fee Paid **SUBTOTAL (3) (\$)**

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	Mark C. Van Ness	Registration No. (Attorney/Agent)	39,865	Telephone
Signature			Date	September 28, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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